



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Larry T. HARADA et al. Art Unit : 2131  
Serial No. : 09/323,415 Examiner : A. Nobahar  
Filed : June 1, 1999  
Title : SECURE DATA EXCHANGE BETWEEN DATA PROCESSING SYSTEMS

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

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INFORMATION DISCLOSURE STATEMENT Technology Center 2100

Applicant submits the references listed on the attached form PTO-1449.

This statement is being filed after a first Office action on the merits, but before receipt of a final Office action or a Notice of Allowance. I, the undersigned, hereby certify that each item of information contained in this statement was cited in a communication from a foreign patent office in a counterpart foreign application, the communication being dated January 15, 2004, which is not more than three months prior to the filing of this statement. Please apply any charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date: 3/16/04

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Sheet 1 of 1

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 06975-041001	Application No. 09/323,415
<b>Information Disclosure Statement by Applicant</b> (Use several sheets if necessary)		Applicant Larry T. HARADA et al.	
		Filing Date June 1, 1999	Group Art Unit 2131
(37 CFR §1.98(b))			

**U.S. Patent Documents**

Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	5,933,827	08/03/1999	Cole et al.			
	AB	5,978,799	11/02/1999	Hirsch			
	AC	5,987,454	11/16/1999	Hobbs			
	AD						<b>RECEIVED</b>
	AE						MAR 18 2004
	AF						Technology Center 2100
	AG						
	AH						
	AI						
	AJ						
	AK						

**Foreign Patent Documents or Published Foreign Patent Applications**

Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
	AL							
	AM							
	AN							
	AO							
	AP							

**Other Documents (include Author, Title, Date, and Place of Publication)**

Examiner Initial	Desig. ID	Document
	AQ	R. Collier, Canadian Office Action, Application No. 2,363,571, 01/15/04, 3 pages.
	AR	
	AS	
	AT	

Examiner Signature	Date Considered
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	